

**IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION**

LAURA PENDERGEST-HOLT,	§	
R. ALLEN STANFORD, GILBERT	§	
LOPEZ, JR. and MARK KUHRT	§	
<i>Plaintiffs,</i>	§	
	§	
V.	§	CIVIL ACTION NO. 4:09-cv-03712
	§	
CERTAIN UNDERWRITERS AT	§	
LLOYD'S OF LONDON and ARCH	§	
SPECIALTY INSURANCE	§	
COMPANY,	§	
<i>Defendants.</i>	§	

APPENDIX

- 1) Complaint filed in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas
- 2) First Amended Complaint filed in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas
- 3) Order of Judge O'Connor dated February 17, 2009 entered in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas
- 4) Motion for Leave to File Second Amended Complaint filed in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas
- 5) Indictment filed in Criminal No. H-09-0342, *United States of America v. Robert Allen Stanford, et al.*, in the U.S. District Court for the Southern District of Texas

- 6) D&O Policy issued by Underwriters to the Stanford Group, bearing policy number 576/MNK558900 and effective August 15, 2008 to August 15, 2009
- 7) Excess Policy issued by Underwriters to the Stanford Group, bearing policy number 576/MNA831400 and effective August 15, 2008 to August 15, 2009
- 8) May 1, 2009 Letter to Plaintiff Stanford
- 9) May 1, 2009 Letter to Plaintiff Holt
- 10) October 30, 2009 Letter to Plaintiff Lopez
- 11) June 1, 2009 Letter to Plaintiff Holt
- 12) Affidavit of Kent Schaffer, including the following attachments to same:
 - 12-A) Certain Underwriters at Lloyd's of London's and Arch Specialty Insurance Company's Billing Guidelines
- 13) Affidavit of Michael Sokolow
- 14) Response to Defendant Holt's and Defendant Lopez's Motions for Payment of Fees or Stay of the Proceedings and Response to Questions Raised by the Honorable David Hittner filed in Criminal No. H-09-0342, *United States of America v. Robert Allen Stanford, et al.*, in the U.S. District Court for the Southern District of Texas
- 15) Order of Judge Godbey dated October 9, 2009 entered in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas
- 16) November 16, 2009 Letter to Plaintiff Holt
- 17) November 16, 2009 Letter to Plaintiff Kuhrt
- 18) November 16, 2009 Letter to Plaintiff Stanford
- 19) November 16, 2009 Letter to Plaintiff Holt
- 20) Affidavit of Jack Zimmermann

- 21) Affidavit of Richard Kuniansky
- 22) Underwriters Proposed Order filed in Criminal No. H-09-0342, *United States of America v. Robert Allen Stanford, et al.*, in the U.S. District Court for the Southern District of Texas
- 23) Order of Judge O'Connor dated February 17, 2009 entered in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas
- 24) Order of Judge Godbey dated March 12, 2009 entered in Civil Action No. 3:09-CV-0298-N, *Securities and Exchange Commission v. Stanford International Bank, et al.*, in the U.S. District Court for the Northern District of Texas